

ONE | FINANCIAL MARKETS

An AXICORP company

CORPORATE APPLICATION

AxiTrader Limited
(trading as One Financial Markets) (**One Financial Markets**)
BCN 25417 BC 2019

Initials: _____

CORPORATE ACCOUNT APPLICATION

Before completing the following form it is essential that you have read and understood all the terms and conditions concerned with your One Financial Markets trading account as detailed in the Client Agreement (including Client Agreement and Product Disclosure Notice).

We will use the information provided in this form to assess your suitability to open an account. For this reason it is essential that all the information provided is entirely accurate and if, at a future date, any circumstances adversely affect this information you are required to notify us in writing to advise us of these details.

Please type or print clearly using block letters and ensure you initial each page. Incomplete applications will not be approved.

For any questions related to the completion of this form, the terms and conditions or the required supporting documents please contact Client Services on clientservices@ofmarkets.com or tel: + 44 (0) 203 857 2000.

SUPPORTING DOCUMENTATION

All application forms must be accompanied by the following documents:

Legal Structure/Company Documents:

- Certified copy* of Certificate of Incorporation (or local equivalent).
- Copy of Memorandum of Association (or local equivalent).
- Copy of Articles of Association (or local equivalent).
- Most recent audited accounts.

Ownership/Control:

- List of all officer(s), director(s) and shareholder(s) (including percentage holding) on company letterhead.
- Board Resolution approving account with One Financial Markets (template can be provided on request).
- List detailing the level of authorisations for each individual signatory on company letterhead.
- Certified copy* of a valid passport, national ID card or photocard drivers licence for each director, authorised person and shareholder with >25% holding named above.
- Copy of a recent (no more than 4 months old) utility bill or bank statement for each director, authorised person and shareholder with >25% holding named above.

*Certification may be carried out by a lawyer, Notary Public, Consulate or Embassy official and be stamped as "true copy of the original". All copies must be clear and certification must be legible, dated and signed with the full name and address of the certifier included.

Please note:

- (i) If the majority shareholder(s) is a corporate entity, full corporate ID is required.
- (ii) Original documents must be received within 14 days of acceptable fax or scanned submissions unless otherwise agreed in advance.
- (iii) English translations must be provided where applicable and translations also certified.
- (iv) Depending on the company structure, One Financial Markets reserves the right to request additional information.

ACCOUNT INFORMATION

Please select your account currency: ☐ USD ☐ EUR ☐ GBP

Will any other person trade on your behalf? ☐ No ☐ Yes*

*If yes, please contact our Client Services team for suitable Limited Power of Attorney forms.

How did you hear about us?

- ☐ Magazine (please specify) ☐ Seminar ☐ Online Ad ☐ Search engine (please specify)
- ☐ Friend (please specify) ☐ Newspaper ☐ Other (please specify) ☐ Introducer (please specify)

Please specify:

Initials: _____

COMPANY INFORMATION

Registered name of the company: (the Company)

Type of company: ☐ Private ☐ Public

Type of business:

Trading name (if different to above):

Registered number:

Registered address:

Physical address (if different to above):

Correspondence address (if different to above):

Company email address:

Company telephone number:

Is the Company registered with the FCA or other regulator? ☐ Yes ☐ No

If yes, please give details:

Is the Company or its parent company, listed on any Stock Exchange ☐ Yes ☐ No

If yes, please give details:

Do you have a Legal Entity number (LEI): ☐ Yes ☐ No

If yes please provide:

If no please register for an LEI number here www.lseg.com/LEI

(Please note we require all companies to provide an LEI number to meet our reporting obligations.)

Initials: _____

CONTACT DETAILS OF THE PRINCIPAL DIRECTOR OR AUTHORISED PERSON

Please note that this should be the individual who contacted One Financial Markets and will be the person contacted in relation to any charges or notifications regarding the Company's account.

Title:	<input type="text"/>	Gender: <input type="checkbox"/> M <input type="checkbox"/> F
First name:	<input type="text"/>	
Middle name:	<input type="text"/>	
Surname:	<input type="text"/>	
Date of birth:	<input type="text"/>	Nationality: <input type="text"/>
Email address:	<input type="text"/>	
Telephone number:	<input type="text"/>	

CONTACT DETAILS OF THE PRINCIPAL TRADER

Please note that this should be the individual responsible for making the trading decisions on the account.

Title:	<input type="text"/>	Gender: <input type="checkbox"/> M <input type="checkbox"/> F
First name:	<input type="text"/>	
Middle name:	<input type="text"/>	
Surname:	<input type="text"/>	
Date of birth:	<input type="text"/>	Nationality: <input type="text"/>
Email address:	<input type="text"/>	
Telephone number:	<input type="text"/>	
Passport Number:	<input type="text"/>	

FINANCIAL INFORMATION

What is the Company's net turnover (in EUR (approximate USD value in brackets)): (please tick one)

- more than 40mio (45mio) ☐
- between 25mio (28mio) and 40mio (45mio) ☐
- less than 25mio (28mio) ☐

Does the Company have:

- | | | |
|--|------------------------------|-----------------------------|
| Balance sheet total of more than EUR 12.5mio (approx. USD 14mio) | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| Own funds of EUR 2mio (approx. USD 2.5mio); | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| An average number of employees of more than 250? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

Expected initial deposit size:

Initials: _____

PREVIOUS TRADING EXPERIENCE

Have you traded CFDs or margined FX using a demo account: ☐ Yes ☐ No

Over the last 12 months, how frequently have you traded the following products in a live environment where you have made the final trade decision.

	Never	Rarely (less than once a month)	Sometimes (at least 3 times a month)	Often (at least 10 times a month)
Foreign Exchange (spot, forward or bullion)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Futures, CFDs or Spreadbets	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Shares or Bonds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

WHAT WAS YOUR AVERAGE TRADE SIZE

	N/A	Micro lots (0.1-0.5)	Mini lots (>1)	Standard lots (>10 lots)
Standard lots	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Foreign Exchange (spot,forward or bullion)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Futures, CFDs or Spreadbets	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Shares or Bonds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

MARKET KNOWLEDGE & AWARENESS

- ☐ I understand that trading leveraged products is high risk and that I can lose more than my initial deposit.
- ☐ I understand that volatile market conditions may impact One Financial Markets' ability to generate prices and execute orders.

If you do not understand these concepts you should not continue with this application.

Initials: _____

DECLARATIONS

Please tick to confirm:

- ☐ We have read, understood and accept in full the Client Agreement (including Client Agreement and Product Disclosure Notice) (as amended from time to time).

By submitting this application form we confirm that:

- We have access to the internet and consent to One Financial Markets providing us with information about changes to the Client Agreement by posting such information on its website **www.ofmarketsgroup.com**.
- We understand that CFDs and margined FX are leveraged products and are sure they are suitable for the Company; we have been advised of our ability to seek independent financial advice.
- We confirm that the information represented here is true and accurate and not misleading in any respect. We agree to inform One Financial Markets promptly in writing should any of the information provided here cease to be correct.

Signature:..... Signature:.....

Full name:..... Full name:.....

Position:..... Position:.....

Date:..... Date:.....